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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>Attorney Docket No. 20206-31 (P00-3015)</p> <p>Serial No. <b>09/736,718</b></p> <p>Applicant: Kurn et al.</p> <p>Filed: December 12, 2000</p> <p>For: <b>Method and Apparatus for Enforcing the Separation of Computer Operations and Business Management Roles in a Cryptographic System</b></p>	<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail addressed to: Assistant Commissioner for Patents, Washington, DC, 20231 on <u>4/27</u>, 2001</p> <p>By: <u>D. Evelyn R. Moran</u></p> <p><b>RECEIVED</b> MAY 2 - 2001 Technology Center 2100</p>
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Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Submitted herewith are references listed on the attached form PTO-1449 of which Applicants are aware and believe to be material to the examination of the above-referenced Application, and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

DATE: 4/11/, 2001

Respectfully submitted,

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kurn, David Michael et al.

Docket No: 20206-31 (P00-3015)

Serial No: 09/736,718

Group Art Unit: 2131

Filed: December 12, 2000

For: **"Method and Apparatus for Enforcing the Separation of Computer Operations and Business Management Roles in a Cryptographic System"**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT**

Enclosed for filing in the above-identified application is an Information Disclosure Statement with attached Form PTO-1449 and copies of cited references.

The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 02-3964.

Respectfully submitted,

Dated: April 27, 2001

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**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail addressed to: Assistant Commissioner for Patents, Box: Assignment, Washington, DC, 20231 on 4/27, 2001

By:



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICATION SERIAL NO. 09/736,718	ATTY DOCKET NO.: 20206-31 (P00-3015)
	APPLICANT(S) KURN et al.	RECEIVED MAY 2 - 2001 Technology Center 2100
	Filed December 12, 2000	

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JD	AA	4,405,829	9/20/1983	Rivest	178	22.11	12/14/1977

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JD	AB	Microsoft Corporation, "Microsoft Authenticode™ Technology: Ensuring Accountability and Authenticity for Software Components on the Internet", October 1996, <a href="http://www.msdn.microsoft.com/workshop/security/authcode/authwp.asp">http://www.msdn.microsoft.com/workshop/security/authcode/authwp.asp</a>
	AC	Rivest et al., "On Digital Signatures and Public-Key Cryptosystems", Massachusetts Institute of Technology, Lab. for Computer Science, April 1977, MIT/LCS/TM-82
	AD	Rivest et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", Massachusetts Institute of Technology, Lab. for Computer Science and Department of Mathematics, February 1978, Communications of the ACM, Vol. 21, No. 2
	AE	S.C. Kothari, "Generalized Linear Threshold Scheme", Lecture Notes in Computer Science, Iowa State University, Dep. of Computer Science, 1985, Library of Congress Catalogue ISBN 0-387-15658-5 (U.S.)
JD	AF	A. Menezes et al. "Handbook of Applied Cryptography", 1997 by CRC Press, Library of Congress Card No. 96-27609, ISBN 0-8493-8523-7, Table of Contents pp iv- xxviii, Chapters 1, 8, 12.4 and 13 (pp.1-48, 283-319, 505-516 and 543-590)

EXAMINER <i>Jeniel Jael</i>	DATE CONSIDERED 4/22/04
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	